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## EXPRESS MAIL LABEL NO. EI 086494813 US

**PATENT** 

Docket No.<u>1758-4034US2</u>

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## **UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))**

Box Patent Application ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Named Inventor(s) and						
Address(es):	Conceicao Minetti – 3904 Isbell Street, Silver Spring, MD 20306; Francis Michon – 4401 Rosedale Avenue, Bethesda, MD 20814; Jeffrey K. Pullen – 6928 Garland Lane, Columbia, MD 21045; Maryellen Poldvino-Bodnar – 621 Rolling Dale Road, Annapolis, MD 21401; Shu-Mei Liang – 6627 River Road, Bethesda, MD 20817; and Joseph Y. Tai – 1370 Cinnamon Drive, Port Washington, PA 19034					
For:	MODIFIED IMMUNOGENIC PNEUMOLYSIN COMPOSTIONS AS VACCINES					
Enclosed are:						
[X] <u>80</u> page(s) of s	specification, 1 page(s) of Abstract, 8 page(s) of claims					
[X] 23 sheets of da	rawing [ ] formal [ X ] informal					
[X] <u>8</u> page(s) of 3	Declaration and Power of Attorney					
[X] Unsigned [ ] Newly Exe [ ] Copy from	ecuted prior application					
[ ] De	eletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)					
combined decla	Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.					
[ ] Microfiche Con	Microfiche Computer Program (Appendix)					
[ ] page(s)	of Sequence Listing					
[ ] Staten	nter readable disk containing Sequence Listing ment under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing esame					

[X]	Claim	for Priority:- Priority under 35 U.S.C. §119(e) is claimed from Provisional Applications Serial No. 60/053,306 filed July 21, 1997 and Serial No. 60/073,456 filed February 2, 1998.					
[]	Certif	Certified copy of Priority Document(s)					
	[]	English translation documents					
[]	Information Disclosure Statement						
	[]	Copy of cited references					
	[]	Copy of PTO-1449 filed in parent application serial No					
[]	Prelim	ninary Amendment					
[X]	Return	Return receipt postcard (MPEP 503)					
[]	Assign	Assignment Papers (assignment cover sheet and assignment documents)					
	[]	A check in the amount of \$40.00 for recording the Assignment.					
	[]	Assignment papers filed in parent application Serial No					
	[]	Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).					
[]	This is	This is a [ ] continuation [ ] divisional [ ] continuation-in-part (C-I-P) of prior application serial no.  filed					
	[]	Cancel in this application original claims of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)					
	[]	A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.					
[]	The status of the parent application is as follows:						
	[]	A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until					
	[]	A copy of the Petition for Extension of Time in the co-pending parent application is attached.					
	[]	No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.					
[]	Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.						
	[]	Transfer the drawing(s) from the patent application to this application.					

[X] Amend the specification by inserting before the first line the sentence:

Priority under 35 U.S.C. §119(e) is claimed from Provisional Applications Serial No. 60/053,306 filed July 21, 1997 and Serial No. 60/073,456 filed February 2, 1998, both of which are incorporated herein by reference in their entirety.

I. CALCULATION OF APPLICATION FEE (For Other Than A Small Entity)

					Basic Fee
	Number Filed		Number Extra	Rate	\$790.00
Total					4.70.00
Claims	30	-20=	10	x\$22.00	\$220.00
Independent					
Claims	13	- 3=	10	x82.00	\$820.00
Multiple Dependent Claim	S				4020.00
	[ ] yes [X] no		Additional Fee = Add'l Fee =	\$270.00 NONE	\$

Total: \$ <u>1830.00</u>

- A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$\_\_\_\_\_\_.
- [ ] A check in the amount of \$ \_\_\_\_\_ in payment of the application filing fees is attached.
- [X] Charge Fee(s) to Deposit Account No. 13-4500. Order No. <u>1758-4036US2</u>. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- [X] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 1758-4036US2. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: July 21, 1998

Kenneth H. Sonnerfeld Registration No. 33,285

CORRESPONDENCE ADDRESS:

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